

REQUEST FORM FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.62

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
7:ROBSON-CON2	CLASS	SUBCLASS	I. Cintins	1308

Address to:
Commissioner of Patents and Trademarks
Box FWC
Washington, D.C. 20231

385 201

A FWC

This is a Request for filing a ☐ continuation-in-part, ☒ continuation, ☐ divisional application under 37 CFR 1.62 of prior application Number 08 / 620,482, filed on 3/22/96 entitled IMPROVEMENTS IN OR RELATING TO THE ABSORPTION OF HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS by the following named inventor(s):

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
ROBSON	ROBSON	David	J.
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
Dwyran	Dwyran	United Kingdom	Great Britain
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE / COUNTRY
Lleiniau	Lleiniau	Dwyran, Anglesey	Gwynedd, LL61 6YG U.K.
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
LAWTHER	LAWTHER	John	Mark
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
Bangor	Bangor	United Kingdom	Great Britain
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE / COUNTRY
47 Bro Emrys, Tal-y-Bont,	47 Bro Emrys, Tal-y-Bont,	Bangor	Gwynedd, LL57 3YS, U.K.
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
HUGHES	HUGHES	Sara	A.
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
Bangor	Bangor	United Kingdom	Great Britain
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE / COUNTRY
4 Tan Y Bryn Terrace	4 Tan Y Bryn Terrace	Bangor	Gwynedd, LL57 1HS, U.K.

☐ Additional inventors are being named on a separate sheet attached hereto.

The above identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned under 37 CFR 1.62(g) as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application. (No new specification is required.) (note: 37 CFR 1.60 may be used for applications where the prior application is not to be abandoned.)

- ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior application.
- ☐ A preliminary amendment is enclosed.

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1 and 2 above.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS		20 - 20 =	0	x \$22.00	\$.00
INDEPENDENT CLAIMS		3 - 3 =	0	x 80.00	.00
MULTIPLE DEPENDENT CLAIMS (if applicable)				+ \$ 260.00	.00
				BASIC FEE	\$770.00
				Total of above Calculations =	\$770.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	\$385.00
				TOTAL =	\$385.00

[Page 1 of 2]

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Express Mail label number TB861764013US
Date of Deposit June 16, 1997

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Genetta M. Hubbard Signature of person mailing paper or fee

(REQUEST FORM FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.62, Page 2)

3. ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27
☐ is enclosed.
☒ was filed in the prior application and such status is still proper and desired (37 CFR 1.28(a)).
Filed in the Parent Application Serial No. 08/050,060 (copy enclosed herewith)
4. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account No. 23-3030. (A duplicate copy of this form is enclosed.) EXCEPT ISSUE FEES
5. ☒ A check in the amount of \$ 385.00 is enclosed.
6. ☐ A new oath or declaration in compliance with 37 CFR 1.63 is included since this application is a continuation-in-part which discloses and claims additional matter.
7. ☒ Amend the specification by inserting before the first line the sentence:

This application is a ☐ continuation-in-part, ☒ continuation, ☐ division, of application number 08 / 620,482, filed March 22, 1996, now abandoned, which is a continuation of number 08/050,060, filed January 31, 1994, now abandoned.
8. ☒ Priority of foreign application number PCT/GB91/01711, filed on October 3, 1991 and foreign application number 9021509.6 filed on October 3, 1990 is claimed under 35 U.S.C. 119.
9. ☒ The prior application is assigned of record to UNIVERSITY COLLEGE OF NORTH WALES
10. ☒ The power of attorney in the prior application is to: (name & address) WOODARD EMHARDT NAUGHTON MORIARTY & McNETT, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204.
11. ☐ Also enclosed:

Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record)

Thomas Q. Henry, Esq.

WOODARD EMHARDT NAUGHTON MORIARTY & McNETT

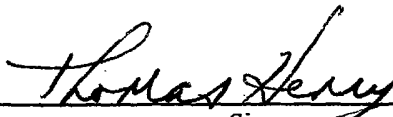
111 Monument Circle, Suite 3700

Indianapolis, IN 46204

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

June 16, 1997

Date


Signature

Thomas Q. Henry, Reg. No. 28,309

Typed or printed name

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record
☐ Filed under 37 CFR 1.34(a)

Applicant or Patentee: David Robson, J. Mark Lawther, Sara Hughes Attorney's
Serial or Patent No.: 08/050,060 Dkt. No.: PBA/SR/D086410PUS
Filed or Issued: April 30, 1993
For: IMPROVEMENTS IN OR RELATING TO THE ABSORPTION OF HYDROPHOBIC WATER-IMMISCIBLE LIQUID

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

METHOD OF ABSORBING HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS

described in

- ☐ the specification filed herewith
☒ application serial no. 08/050,060 filed April 30, 1993
☐ patent no. _____, issued _____

COPY

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME UNIVERSITY COLLEGE OF NORTH WALES

ADDRESS Bangor, Gwynedd, LL57 2DG, United Kingdom.

☐ Individual ☐ Small Business Concern ☒ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application of patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

David James ROBSON

John Mark LAWTHOR

Sara HUGHES

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

David James Robson

John Mark Lawther

Sara Hughes

Date 22 June 1993

Date 22 June 1993

Date 22 June 1993

Applicant or Patentee: David J. Robson, J. Mark Lawther, Sara Hughes Attorney's PBA/SR/ D086410PUS
 Serial or Patent No.: 08/050,060 Docket No.: _____
 Filed or Issued: April 30, 1993
 For: IMPROVEMENTS IN OR RELATING TO THE ABSORPTION OF HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (d)) — NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION UNIVERSITY COLLEGE OF NORTH WALES
 ADDRESS OF ORGANIZATION BANGOR, GWYNEDD, LL57 2DG, UNITED KINGDOM.

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
☐ Nonprofit scientific or educational under statute of state of The United States of America
 (Name of state _____)
 (Citation of statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) if located in The United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America
 (Name of state _____)
 (Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Method of absorbing hydrophobic water-immiscible by inventor(s) ROBSON, David James, LAWTHOR, John Mark, & HUGHES, Sara described in

- ☐ the specification filed herewith
☒ application serial no. 08/050,060, filed April 30, 1993
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING DR. ALAN JAMES BOLTON
 TITLE IN ORGANIZATION DIRECTOR - THE BIOCOMPOSITES CENTRE
 ADDRESS OF PERSON SIGNING UNIVERSITY OF WALES
BANGOR GWYNEDD LL57 2UG, UK

SIGNATURE [Signature] DATE 22 June 1993